

08/808827

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3 RCE +

Change in  
entity  
status

PTO/SB/30 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# Request For Continued Examination (RCE) Transmittal

Address to:  
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Alexandria, VA 22313-1450



|                        |                               |
|------------------------|-------------------------------|
| Application Number     | 08/808,827                    |
| Filing Date            | February 28, 1997             |
| First Named Inventor   | Walter Henry Gunzburg         |
| Art Unit               | 1631                          |
| Examiner Name          | Brusca, John S.               |
| Attorney Docket Number | 1406/194 (formerly GSF97-01A) |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☒ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☒ Other Request for Change from Large Entity Status

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0426

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                   |                             |                                   |                   |
|-------------------|-----------------------------|-----------------------------------|-------------------|
| Name (Print/Type) | Arles A. Taylor, Jr.        | Registration No. (Attorney/Agent) | 39,395            |
| Signature         | <i>Arles A. Taylor, Jr.</i> | Date                              | September 2, 2004 |

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below. Express Mailing Label No. EV501976674US

|                   |                     |      |                   |
|-------------------|---------------------|------|-------------------|
| Name (Print/Type) | Patty Wilson        | Date | September 2, 2004 |
| Signature         | <i>Patty Wilson</i> |      |                   |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

SEP 14 2004

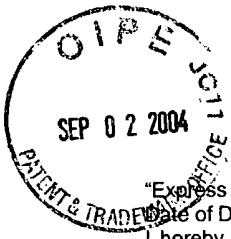
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Patty Wilson  
Patty Wilson

**PATENT  
(After Final)**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Gunzburg and Saller

Group Art Unit: 1631

**Serial No.: 08/808,827**

Examiner: Brusca, John S.

Filed: February 28, 1997

Docket No.: 1406/194

Confirmation No.: 6837

For: NON SELF-INACTIVATING, EXPRESSION TARGETED RETROVIRAL  
VECTORS

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**AMENDMENT**

Mailstop RCE  
Commissioner for Patents  
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Sir:

This paper is responsive to the Final Official Action dated March 2, 2004, having a period for Response that expired on June 2, 2004. A petition for a three-month extension extending the deadline to respond up to and including September 2, 2004 is hereby made. Submitted herewith is a REQUEST FOR CHANGE FROM LARGE ENTITY STATUS TO SMALL ENTITY STATUS. Please charge the small entity extension fee of \$475.00 to Deposit Account No. 50-0426.

A Request for Continued Examination (RCE) is submitted herewith. Favorable reconsideration is respectfully requested in view of the following Amendments and Remarks.

**AMENDMENTS**

Please amend the subject application as follows: